### **Evidence Based Practice Training:** Functional Behavioral Assessment (FBA)

Adapted from Sam, A., & AFIRM Team. (2015). Functional Behavioral Assessment. Chapel Hill, NC: National Professional Development Center on Autism Spectrum Disorder, FPG Child Development Center, University of North Carolina. Retrieved from https://afirm.fpg.unc.edu/functional-behavior-assessment





### What is CAPTAIN?

The California Autism Professional Training And Information Network (CAPTAIN) is an interagency network developed to support the understanding and use of evidence based practices (EBPs) for individuals affected by Autism across the state of California





## What is CAPTAIN?

Marin County SELPA in partnership with CAPTAIN, are members of the Statewide System of Support as the Special Education Content Lead for Autism.

This project is funded by the California Department of Education and the California Collaborative for Educational Excellence.











### Levels of Professional Development to Reach Implementation



TRAINING/WORKSHOP





### Before We Begin...

### Please complete the **Pre-Training Survey** sent to your email





### What are Evidence Based Practices?



### NCAEP definition of an EBP:

### "Focused intervention practices that have evidence of efficacy in promoting positive outcomes for learners with ASD."

Steinbrenner, J. R., Hume, K., Odom, S. L., Morin, K. L., Nowell, S. W., Tomaszewski, B., Szendrey, S., McIntyre, N. S., Yücesoy-Özkan, S., & Savage, M. N. (2020). Evidence-based practices for children, youth, and young adults with Autism. The University of North Carolina at Chapel Hill, Frank Porter Graham Child Development Institute, National Clearinghouse on Autism Evidence and Practice Review Team.





### **Evidence Based Practice Matrix (28 EBPs)**

#### Table 3.7 Matrix of evidence-based practices, outcomes, and age categories

Evidence-Based	Ac Pre-	aden -acad	nic/ emic	Ad Se	laptiv elf-he	ve/ elp	In	allenş terfe ehav		Co	ognit	ive		omm	uni-	a	Joint ttenti			Menta healt			Moto	or		Play			Schoo		dete	Self- ermina	ition		Socia	ı	Vo	catior	nal
<b>Practices</b> See Table 3.1 to link abbreviations to EBPs	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years	0-5 years	6-14 years	15-22 years
ABI																																							
AAC																																							
BMI																																							
CBIS																																							
DR																																							
DI																																							
DTT																																							
EXM																																							
EXT																																							
FBA																																							
FCT							-						-																										-
MD																																							
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VM																																							
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AVAILABLE ON CAPTAIN WEBSITE **www.captain.ca.gov** 





# Selecting EBPs

Before beginning a new practice with a learner, it is important to follow four planning steps

- 1. Identify the behavior
- 2. Collect baseline data on the behavior
- 3. Establish an observable and measurable goal
- 4. Choose an EBP
  - Consider the child and family characteristics
  - Consider the teacher and team characteristics
  - Consider other available resources







### Selecting an EBP Checklist

	Selecting a	an EBP Checklist	AFIRM	C
		Date/Time:		Ŀ
<ul> <li>✓ –</li> </ul>		st to select an appropriate practice to use v	with the learner with	
IDENTIFY '	TARGET GOAL/BEHAVIO	R/SKILL:		St
				н
COLLECT I SHEET):	BASELINE DATA (OR USE	SELECTING AN EBP DATA CO	DLLECTION	Т
	Frequency/Duration		Total	K
				G
				0
				Ci
DEFINE A	N OBSERVABLE AND ME	ASURABLE IEP GOAL:		L
				Te

AFIRM Autism Focused Intervention Resources & Modules	Selecting an EBP Checklist For more information, please visit: <u>https://afirm.fpg.unc.edu/</u>
CHECK ANNUAL GOAL FOR:	
1. Context (When/Antecedent)	🗆 Yes 🛛 No
<ol> <li>Target goal/behavior/skill (What/Beha perform)</li> </ol>	
<ol><li>Mastery (How/Criterion for learner processing)</li></ol>	ogress/mastery 🗌 Yes 🗌 No
IDENTIFY CHARACTERISTICS, CLUES, Child and Family Characteristics	AND RESOURCES:
Student strengths:	Student challenges:
Has worked before (home/school):	Has not worked before (home/school):
Teacher/Team Characteristics	
Knowledge level:	Successfully used EBPs:
Clues found in the IEP Goal	- I
Goal domain:	Potential EBPs (Refer to the Domain Matrix):
Other Resources	<b>I</b>
Current student supports:	Available equipment:
Team members:	Additional learning experiences:
	Ality fear, 2004 How Page 2 of 2

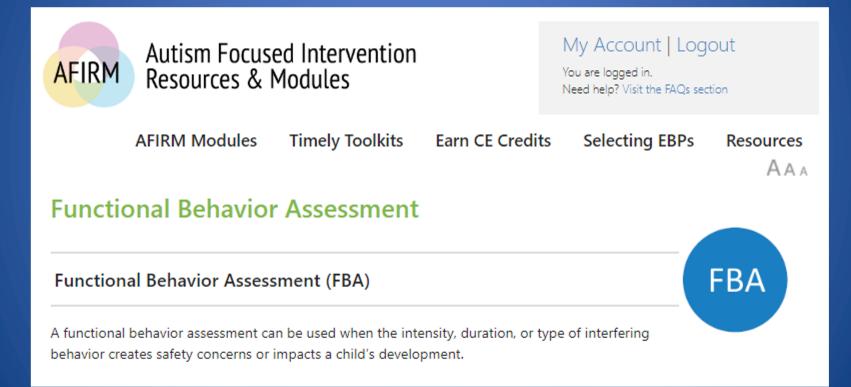
AFIRM Autism Focused Intervention Resources & Modules	for more	Selecting an EBP Checklis information, please visit: <u>https://afirm.fpg.unc.edu</u>
SELECT AN EBP:		
IF APPLICABLE, IDENTII SELECTED EBP:	Y ADDITIONALS EBPS TO	BE USED WITH THE
Reinforcement (R+)	Prompting (PP)	Modeling (MD)
Task Analysis (TA)	Time Delay (TD)	Uisual Supports (VS)
Functional Behavior Assessment (FBA)	□	□

ADDITIONAL NOTES:		





High Quality Training: <u>Autism Focused Intervention Resources and Modules (AFIRM)</u> Designed to help you learn the step-by-step process of planning for, using, and monitoring EBPs with learners with Autism from birth to 22 years of age







# Core Components: Learning Objectives

- Learn basic knowledge about Functional Behavior Assessment (FBA)
- Apply FBA knowledge in activities to promote real world understanding
- Familiarize with assessments and data collection
- Generate hypotheses based on results of the analysis







Name of EBP		Functional I	Behavioral As	ssessment (FB	A)						
Definition of I	EBP	Functional behavior assessment (FBA) is a systematic way of determining the underlying function or purpose of a behavior so that an effective intervention plan can be developed. FBA consists of describing the interfering or problem behavior, identifying antecedent and consequent events that control the behavior (sometimes systematically tested through a functional analysis), developing a hypothesis of the function of the behavior, and testing the hypothesis. Data collection is an important part of the FBA process. FBA is typically used to identify the causes of interfering behaviors such as self-injury, aggression towards others, or destructive behaviors and should be followed by the creation and implementation of a behavioral intervention to address the interfering behavior described									
		Age Ranges									
Outcome Are	as	0-2 Toddlers	3-5 Preschoolers	6-11 Elementary School	12-14 Middle School	15-18 High School	19-22 Young Adults				
	Communication			1		1					
	Social										
¥	Joint attention										
	Play										
	Cognitive										
	School readiness		1	1	1						
	Academic/ Pre-academic		1	1							
	Adaptive/ self-help			1							
<del>ور</del>	Challenging/ Interfering behavior	1	1	1	1	1	1				
₩ <u></u>	Vocational										
	Motor										
( The second	Mental health										
	Self- determination										





### What is an FBA?

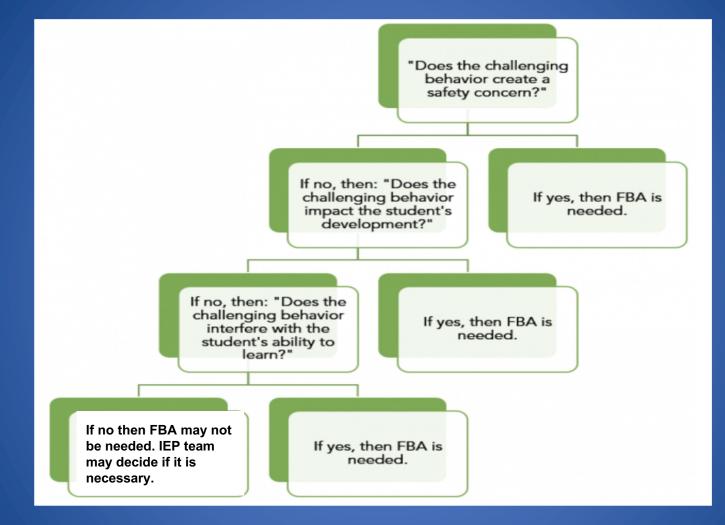
- An FBA is used to generate a hypothesis regarding the function of interfering behavior. The following set of strategies are utilized:
  - Describing the interfering behavior
  - Identifying antecedent and consequence events
  - Developing a hypothesis of the function of the behavior
  - Test the hypothesis through implementation of strategies
  - FBA is used to develop behavior plans which include selecting appropriate EBPs to prevent, teach and reinforce alternative behaviors







#### When Should FBA Be Considered?







# Goals that can be addressed through FBA

- Decrease interfering behaviors
- Increase appropriate behaviors
- Increase classroom engagement
- Decrease self-injurious behavior





### How can FBA help? Supports teachers and practitioners by:

- Providing information about when, where, and why the interfering behavior occurs
- Developing a hypothesis concerning the reason/function
- Building effective positive behavioral supports and skills teaching plans





# Where is FBA in the PBIS Continuum of Supports?

Continuum of School-Wide Instructional & Positive Behavior Support

# Tertiary Prevention: Specialized Individualized Systems for Students with High-Risk

#### Secondary Prevention:

•Specialized Group •Systems for Students with At-Risk Behavior

#### **Primary Prevention:**

 School-/Classroom-Wide Systems for All Students, Staff, & Settings

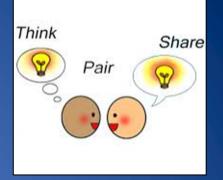
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Because FBA is highly individualized and result in a student specific behavior support plan, it is typically conducted as part of Tier 3.





## **Think - Pair - Share**



### Checking In

Determine if the statement below is True or False.

A functional behavior assessment should be conducted on any challenging behavior.

True

False







## How are FBA's being used?

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Functional behavior assessments can be used by a variety of professionals, including teachers, special educators, therapists, paraprofessionals, and early interventionists in educational and communitybased environments

#### **Real Life Scenarios**



SELPA Content Lead EVIDENCE Based Practices —Autism—

## Steps for FBA: Planning

- 1.1 Establish a multidisciplinary team.
- 1.2 Identify and define interfering behavior.
- 1.3 Review records of learner.
- Select assessment procedures.
- Develop plan for collecting baseline data.





### Establish a Multidisciplinary Team

The team should include the following:

- The learner's teachers (special education teacher and general education teacher if the learner spends part of the day in the general education classroom)
- Related service personnel (e.g. speech-language pathologist, occupational therapist, behavioral therapist) who have regular involvement with the learner
- Paraprofessionals who work directly with learner
- Learner's parents or family members
- Learner with ASD (if developmentally appropriate)

Designate an FBA coordinator that has training and experiencing conducting FBA's.





### Identify and Define the Interfering Behavior

The team can consider the following questions to help select the interfering behavior:

- Is the behavior dangerous to the learner or others?
- Does the behavior interfere with learning (e.g., academic, social)?
- Does the behavior interfere with socialization or acceptance from peers?

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• Is the behavior disruptive or intense on a frequent basis?





### **Operationally Define the Behavior**

- Specific
- Observable
- Measurable

Example	Non Example
Juan yells "No" in a voice loud enough to be heard in the next room when music class is over	Juan gets angry when music class ends and acts inappropriately





### **Practice with Feedback**



Turn these examples into operational definitions of behavior:

- 1. Jane throws a tantrum when asked to go to circle time
- 2. When recess is over, Matthew bites himself
- 3. Sam is mean to his peers during group work
- Jonelle used bad language when she is told to stop doodling in class





### **Select Assessment Procedures**

Formal and informal assessments should be used to gather information about the behavior:

- Behavior Assessment System for Children (BASC-III)
- Functional Assessment Screening Tool (FAST)
- Problem Behavior Questionnaire (PBQ)
- Motivation Assessment Scale (MAS)
- Functional Assessment Intervièw (FAI)

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SELPA Conter

Student Directed FAI



### **Develop a Plan for Collecting Data**

- The team should collaborate to develop a plan to ensure data is collected on the interfering behavior
- Data should be collected across times, activities, locations, etc
- Select length of time data will be collected

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Determine who will collect the data





### FBA Planning Worksheet

Functional Behavior Assessment	Functional Behavior Assessment
AFIRM Autism Focused Intervention Resources & Modules	Data Collection Plan: In what settings will data be collected?
Define Interfering Behavior:	How long and how often will data be collected?
Interview Procedures: Who will be interviewed?	
What interview tools will be used?	
Standardized Behavior Rating Scales:	For more information visit: www.afirm.fpg.unc.edu







### **Steps for Using/Conducting FBA**

- 2.1 Collect data using selected assessment procedures.
- Collect data on the occurrence of the interfering behavior (A-B-C behavioral analysis).
- 2.3 Analyze collected data.
- 2.4 Develop a hypothesis statement.
- 2.5 Test hypothesis to ensure it is correct.
- 2.6 Identify appropriate EBPs to address interfering behavior.
- 2.7 Develop behavior intervention plan (BIP) with strategies for increasing replacement behaviors and learning opportunities.





### Data Collection for FBA

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### Types of Data to Collect

- A-B-C
- Frequency/Duration/Intensity
  - Event Recording
  - Time Sampling





### **ABC** Data

 Will help team determine the probably cause(s) and function(s) of the behavior

#### Example: A-B-C Data Chart

A (Antecedent)	B (Behavior)	C (Consequence)
describe the activities	describe exactly what	describe events that
and specific events	the behavior looked	followed or results of
preceding the behavior	like	the behavior
Leah was told to come inside from recess.	Leah ran away from the teacher and went to the far side of the playground.	



#### A-B-C Data Chart:

In the table below, record your observations

	Setting	Antecedent	Behavior	Consequence
Date:				
Time:				
Date:				
Time:				
Date:				
Time:				
Date:				
Time:				
Date:				
Time:				
Date:				
Time:				
Date:				
Time:				
Date:				
Time:				
Date:				
Time:				
Date:				
Time:				





## **Data Collection**

- Will help the team determine when the behavior is most likely to occur and not occur and "severity" of behavior
- Establishes baseline to set goals and measure improvement
- Frequency, duration and/or intensity

			Functional Assess	
Autism Resource Event Sa		Event Samplin Learner's Name: Observer(s): Interfering Behavior(s):	Date/Time:	
	e event recording to collec Behavior	ct the frequency data at every instance t	the behavior occurs.	Total
				nal Behavior essment
Autis	FIRM m Focused Intervention urces & Modules	Duration Learner's Name: Observer(s): Interfering Behavior(s): Activity/Setting(s):		

#### Duration Data:

This sheet could be completed by highlighting, circling, or shading the duration (length of the behavior). The sheet is designed to provide a graphic representation of the duration over time (the resulting data, if blocks are circled or highlighted, will appear similar to a bar graph).

	Monday	Tuesday	Wednesday	Thursday	Friday								
	15	15	15	15	15								
	14	14	14	14	14								
	13	13	13	13	13								
	12	12	12	12	12								
	11	11	11	11	11								
	10	10	10	10	10								
Length of	9	9	9	9	9								
time of	8	8	8	8	8								





### Practice With Feedback



#### Checking In

Examine the scatterplot to determine where the target behavior is occurring most often.

Interfering behavior: Pushing or hitting peers.

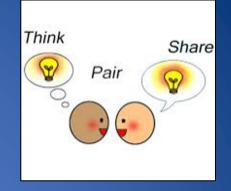
			Date						
Time	Activity	12/2	12/3	12/4	12/5	12/8	12/9	12/10	
8:30	Breakfast					X			
9:00	Circle							X	
9:30	Centers	X		X	X	X	Х		
11:00	Outside play	X	Х	X	X	X	X	X	
12:00	Lunch								
1:00	Nap								
2:30	Snack						X		

Which activities does the learner regularly, exhibit the identified interfering behavior?





## **Think - Pair - Share**



- Which data collection methods have you utilized in your practice
- Discuss how you identified which data collection method to use
- How have you planned for consistency of the data collection across the students day?





# The information and data collected should be able to answer the following questions:

- How long has the behavior been interfering with the learner's development and learning?
- Does the behavior involve aggression or damage to property?
- Where is the behavior occurring?
- What activities is the learner participating in when the behavior occurs?
- What are others (teacher, peer, practitioner) doing when the behavior occurs?

- What is the proximity of others (e.g. teacher, peer, practitioner) when the behavior occurs?
- What is the noise level in the environment when the behavior occurs?
- How many peers and adults are present when the behavior occurs?
- What other environmental conditions (e.g. lighting) are present when the behavior occurs?





# The information and data collected should be able to answer the following questions:

- Does the behavior occur because the learner is being asked to demonstrate a skill that he/she cannot perform (e.g. talking with peer, completing a difficult math assignment)?
- Does the learner exhibit other behaviors immediately before the behavior occurs (antecedents)?
- What happens immediately after the interfering behavior occurs (consequences)?
- What is the noise level in the environment when the behavior occurs?
- How many peers and adults are present when the behavior occurs?

- What other environmental conditions (e.g. lighting) are present when the behavior occurs?
- Does the behavior occur because the learner is being asked to demonstrate a skill that he/she cannot perform (e.g. talking with peer, completing a difficult math assignment)?
- Does the learner exhibit other behaviors immediately before the behavior occurs (antecedents)?
- What happens immediately after the interfering behavior occurs (consequences)?





### **Analyzing Collected Data**

Behavior functions typically fall into two categories:

- To get or obtain
- To escape or avoid

#### Get/Obtain or Escape/Avoid

Attention/Interactions

Food/Drink

**Tangible Items** 

Activities

Sensory or Physiological/Autonomic





## Practice With Feedback: What is/are the Probable Function(s)



Get/Obtain or Escape/Avoid

Attention/Interactions

Food/Drink

**Tangible Items** 

Activities

Sensory or Physiological/Autonomic





## **Behavior Scenarios**



- 1. At the end of recess when asked to transition in, Marcus falls to the ground and refuses to go inside. Teachers try to prompt him verbally and do not allow him to continue playing, but the behavior continues to occur each day. The activity that follows recess is ELA, which is a non-preferred activity for him. He typically returns to class about 10 min into the activity.
- 2. Brad often says "Rude" things like "You are Stupid" to peers during group work whenever they want to do things in a different way than he does. When this occurs, teachers often temporarily remove him from the group, explain to him why his behavior is not okay, how it may influence peers feelings about him and they provide him strategies for how to be more flexible in the group.
- 3. Lacy often becomes aggressive towards peers when they have a toy she wants. She will grab it, hit them and push them away from the toy. Peers usually give up the toy and cry or move away. WHen staff see it, they move in to mediate the interaction, typically prompting sharing or asking for a turn.





## **Develop Hypothesis Statement**

- Following the analysis of the data, the hypothesis statement can be developed
- The hypothesis statement should include the following:
  - The setting events, immediate antecedents, and immediate consequences that surround the interfering behavior.
  - A restatement and refinement of the description of the interfering behavior that is occurring.

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The probable function the behavior serves (i.e., get/obtain, escape/avoid ...)





## Hypothesis Statement

#### **Example:**

"When asked to complete an assignment independently in the general education setting, Brad screams and says, 'no', because he in currently unable to complete the work as it is presented without assistance and accommodations. He is then allowed to sit in the identified 'safe place' in the classroom and use a fidget toy. The probable function of the behavior is to escape/avoid work."





## Determine The Replacement Behavior(s) to Teach and Reinforce

Functionally Equivalent Replacement Behavior(s):

- 1. Result in the same outcome as the interfering behavior
- 2. Should be efficient and able to be reinforced in the current context

#### Other Skills to Teach:

- 1. Result in reinforcement in the context
- 2. Are more socially accepted/valid than the interfering behavior





## Practice With Feedback: What are the Replacement Behavior(s)



For Each Behavior Scenario identify a FERB and an additional skill to teach that will replace the interfering behavior





## **Behavior Scenarios**



- 1. At the end of recess when asked to transition in, Marcus falls to the ground and refuses to go inside. Teachers try to prompt him verbally and do not allow him to continue playing, but the behavior continues to occur each day. The activity that follows recess is ELA, which is a non-preferred activity for him. He typically returns to class about 10 min into the activity.
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## **Testing the Hypothesis**

- Test the developed hypothesis by modify the setting/activity to determine if the behavior occurs more or less.
- Testing can occur over several days or weeks to confirm the cause or function of the interfering behavior.
- If the behavior involves risk of injury or damage, skip this step and move into full implementation of your Behavior Intervention Plan (BIP).





## Develop the Written Behavior Intervention Plan (BIP)

#### **Components of The BIP**

- 1. Behavior definition and perceived function
- 2. EBPs to use to **Prevent**, **Teach and Reinforce**
- 3. Response from staff when interfering behavior occurs
- 4. Goals, Objectives and Data collection plan





## Monitoring Use and Effectiveness of BIP

- Collect and analyze data on interfering behavior and replacement behavior(s) to determine if BIP is working.
- 3.2 Determine next steps based on learner progress





Ongoing Data Collection and Analysis

#### Data to focus on:

- Frequency of interfering behavior
- Duration of interfering behavior

		Functional Behavior Assessment	
AFIRM Autism Focused InterventionEvent Sampling Data Collection- Date/Time: Deterver(s): Interfering Behavior(s):			
Resources & Modules Event Sampling: Use event recording to coll Date Behavior	ect the frequency data at every instance the beh	Total	



Duration Data:

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This sheet could be completed by highlighting, circling, or shading the duration (length of the behavior). The sheet is designed to provide a graphic representation of the duration over time (the resulting data, if blocks are circled or highlighted, will appear similar to a bar graph).

	Monday	Tuesday	Wednesday	Thursday	Friday
	15	15	15	15	15
	14	14	14	14	14
	13	13	13	13	13
	12	12	12	12	12
	11	11	11	11	11
	10	10	10	10	10
Length of	9	9	9	9	9
time of	8	8	8	8	8





### Data on the Replacement Behavior(s)

- Helps team measure the improvement or lack of
- Helps team know if plan is being implemented as intended
- May indicate that more FBA needs to be done



Replacement Behavior:

Document the implementation of interventions and whether the interventions help with the occurrences of the interfering behaviors.

Proactive Strategies	Response to Behaviors
1.	1.
2.	2.
3.	3.
4.	4.

Week of:	Proactive Strategies	It Helped	Response to Behaviors	It Helped
Monday	PS 1:	PS 1: 00000	RB 1:	RB 1: 0000
	PS 2:	PS 2: 00000	RB 2:	RB 2: 0000
	PS 3:	PS 3: 00000	RB 3:	RB 3: 0000
	PS 4:	PS 4: 00000	RB 4:	RB 4: 0000
Tuesday	PS 1:	PS 1: 00000	RB 1:	RB 1: 0000
	PS 2:	PS 2: 00000	RB 2:	RB 2: 0000
	PS 3:	PS 3: 00000	RB 3:	RB 3: 0000
	PS 4:	PS 4: 00000	RB 4:	RB 4: 0000
Wednesday	PS 1:	PS 1: 0000	RB 1:	RB 1: 0000
	PS 2:	PS 2: 0000	RB 2:	RB 2: 0000
	PS 3:	PS 3: 0000	RB 3:	RB 3: 0000
	PS 4:	PS 4: 0000	RB 4:	RB 4: 0000
Thursday	PS 1:	PS 1: 00000	RB 1:	RB 1: 0000
	PS 2:	PS 2: 00000	RB 2:	RB 2: 0000
	PS 3:	PS 3: 00000	RB 3:	RB 3: 0000
	PS 4:	PS 4: 00000	RB 4:	RB 4: 0000
Friday	PS 1:	PS 1: 0000	RB 1:	RB 1:
	PS 2:	PS 2: 0000	RB 2:	RB 2:
	PS 3:	PS 3: 0000	RB 3:	RB 3:
	PS 4:	PS 4: 0000	RB 4:	RB 4:





## Next Steps Based on Learner

# Learner making progress?

- Continue to use selected strategies
- Continue to monitor progress

Learner not making progress? Questions to ask:

- Is the behavior well defined?
- Is the behavior measurable and observable?
- Are staff member implementing strategies/EBPs with fidelity?



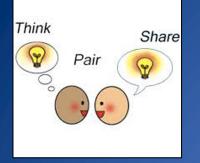


## **Resources and Tools**

#### AFIRM Autism Focused Intervention Resources and Modules









1. What are 4 things you remember from today's training?

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1. What are 2 things you see yourself doing?

1. What is the 1 thing you can implement tomorrow?





## What's Next

Functional Behavior Assessment (FBA) ---Implementation Checklist---

#### Observation 1 2 3 4 Date To find out Observer's Initials more Step 1: Planning information 1.1 Establish a multidisciplinary team. about... 1.2 Identify and define interfering behavior. Establishing a 1.3 Review records of learner. outcome that 1.4 Select assessment procedures. clearly states 1.5 Develop plan for collecting baseline data. when the behavior will Step 2: Using occur, what the target skill is, 2.1 Collect data using selected assessment procedures. and how the team will know 2.2 Collect data on the occurrence of the interfering behavior (A-B-C behavioral when the skill is analysis). mastered. 2.3 Analyze collected data. □ Identifying 2.4 Develop a hypothesis statement. evidence-based practices 2.5 Test hypothesis to ensure it is correct. Refer to the 2.6 Identify appropriate EBPs to address interfering behavior. "Selecting EBPs" 2.7 Develop behavior intervention plan (BIP) with strategies for increasing section on the replacement behaviors and learning opportunities. website: afirm.fpg.unc.edu Step 3: Monitoring 3.1 Collect and analyze data on interfering behavior and replacement behavior(s) to determine if BIP is working. 3.2 Determine next steps based on learner progress

AFIRM Autism Focused Intervention Resources and Modules

goal or

Functional Behavior Assessment National Professional Development Center on ASD 2015





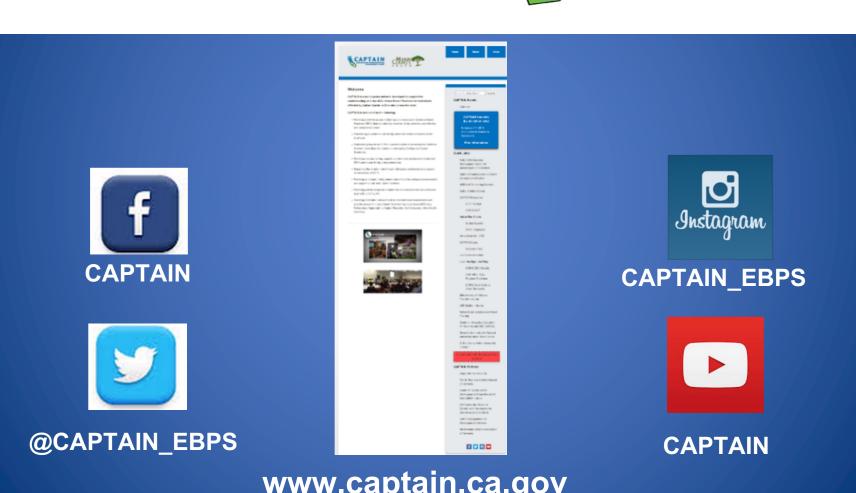
## After the Training...

### Please complete the **Post Training Survey** that will be sent to your email





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California Autism Professional Training and Information Network **SELPA Content Leac** 

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